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L53 and (shipper or carrier)	107

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<u>L54</u>	L53 and (shipper or carrier)	107	<u>L54</u>
<u>L53</u>	L52 and (bid or rate)	112	<u>L53</u>
<u>L52</u>	L51 and freight near2 manag\$	115	<u>L52</u>
<u>L51</u>	((705/\$)!.CCLS.)	21555	<u>L51</u>
<u>L50</u>	((705/37)!.CCLS.)	1276	<u>L50</u>
<u>L49</u>	6219653.uref.	1	<u>L49</u>

*DB=USPT; PLUR=YES; OP=OR*

<u>L48</u>	3573747.pn.	1	<u>L48</u>
<u>L47</u>	4495581.pn.	1	<u>L47</u>
<u>L46</u>	4677552.pn.	1	<u>L46</u>
<u>L45</u>	4713761.pn.	1	<u>L45</u>
<u>L44</u>	4799156.pn.	1	<u>L44</u>

L43 5063506.pn.  
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L19 5758328.pn.  
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L16 5822737.pn.  
L15 5893076.pn.  
L14 6035289.pn.  
L13 6064981.pn.

*DB=USPT,PGPB,JPAB,EPAB,DWPI,TDBD; PLUR=YES; OP=OR*

L12 5485369.uref.  
L11 5485369.pn.  
L10 5450317.uref.  
L9 5450317.pn.  
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L6 L4 and bulletin near3 board

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L2: Entry 1 of 2

File: USPT

May 16, 2000

US-PAT-NO: 6064981

DOCUMENT-IDENTIFIER: US 6064981 A

TITLE: Method for online display and negotiation of cargo rates

DATE-ISSUED: May 16, 2000

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Barni; Neil A.	Dallas	TX	75201	
Miller; Daniel M.	Mahopac	NY	10541-2909	

APPL-NO: 09/ 335451 [PALM]

DATE FILED: June 17, 1999

INT-CL: [07] G06 F 17/30

US-CL-ISSUED: 705/26; 705/26, 705/400, 705/401, 705/402, 705/408, 705/409, 705/407

US-CL-CURRENT: 705/26; 705/400, 705/401, 705/402, 705/407, 705/408, 705/409

FIELD-OF-SEARCH: 705/26, 705/400, 705/401, 705/402, 705/408, 705/409, 705/407

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

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	PAT-NO	ISSUE-DATE	PATENTEE-NAME	US-CL
<input type="checkbox"/>	<u>4713761</u>	December 1987	Sharpe et al.	364/406
<input type="checkbox"/>	<u>5072397</u>	December 1991	Barns-Slavin et al.	364/464
<input type="checkbox"/>	<u>5117364</u>	May 1992	Barns-Slavin et al.	364/464
<input type="checkbox"/>	<u>5629982</u>	May 1997	Micali	380/30
<input type="checkbox"/>	<u>5666420</u>	September 1997	Micali	380/30
<input type="checkbox"/>	<u>5794207</u>	August 1998	Walker et al.	705/23
<input type="checkbox"/>	<u>5794219</u>	August 1998	Brown	705/37
<input type="checkbox"/>	<u>5812670</u>	September 1998	Micali	380/25
<input type="checkbox"/>	<u>5826244</u>	October 1998	Huberman	705/37
<input type="checkbox"/>	<u>5835896</u>	November 1998	Fisher et al.	705/37
<input type="checkbox"/>	<u>5845266</u>	December 1998	Lupien et al.	705/37
<input type="checkbox"/>	<u>5850442</u>	December 1998	Muftic	380/21
<input type="checkbox"/>	<u>5890138</u>	March 1999	Godin et al.	705/26
<input type="checkbox"/>	<u>5897620</u>	April 1999	Walker et al.	705/5
<input type="checkbox"/>	<u>5905975</u>	May 1999	Ausubel	705/37
<input type="checkbox"/>	<u>5966699</u>	October 1999	Zandi	705/38

ART-UNIT: 271

PRIMARY-EXAMINER: Tkacs; Stephen R.

ASSISTANT-EXAMINER: Groutt; Phillip

ATTY-AGENT-FIRM: Judson; David H.

ABSTRACT:

Freight forwarders and carriers post published rate and discount information for land, sea and air bearing cargo vessels to allow customers the opportunity to evaluate competitive prices, preferably in one consolidated location (e.g., a web site). A customer navigates to the site to obtain published or discounted rate information offered by a freight forwarder or carrier. The customer may accept a posted rate, in which case the site operator notifies the freight forwarder or carrier. Alternatively, customers, freight forwarders and carriers may negotiate rates for particular lanes of interest using an online interactive auction block.

17 Claims, 12 Drawing figures

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L1: Entry 1 of 2

File: USPT

Mar 7, 2000

US-PAT-NO: 6035289

DOCUMENT-IDENTIFIER: US 6035289 A

TITLE: Method and apparatus for electronic trading of carrier cargo capacity

DATE-ISSUED: March 7, 2000

## INVENTOR-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY
Chou; Yu-Li	White Plains	NY		
Garg; Amit	White Plains	NY		
Yeh; James Tien-Cheng	Katonah	NY		

## ASSIGNEE-INFORMATION:

NAME	CITY	STATE	ZIP CODE	COUNTRY	TYPE	CODE
International Business Machines Corporation	Armonk	NY				02

APPL-NO: 09/ 115450 [PALM]

DATE FILED: July 14, 1998

INT-CL: [07] G06 F 17/60

US-CL-ISSUED: 705/37; 705/35, 705/36, 709/214

US-CL-CURRENT: 705/37; 705/35, 705/36, 709/214

FIELD-OF-SEARCH: 705/37, 705/36, 705/35, 709/213

PRIOR-ART-DISCLOSED:

U.S. PATENT DOCUMENTS

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<input type="checkbox"/>	<u>4412287</u>	October 1983	Braddock, III	705/37
<input type="checkbox"/>	<u>4903201</u>	February 1990	Wagner	705/37
<input type="checkbox"/>	<u>5136501</u>	August 1992	Silverman et al.	705/37
<input type="checkbox"/>	<u>5715402</u>	February 1998	Popolo	705/37
<input type="checkbox"/>	<u>5727165</u>	March 1998	Ordish et al.	705/37
<input type="checkbox"/>	<u>5835716</u>	November 1998	Hunt et al.	709/213
<input type="checkbox"/>	<u>5845266</u>	December 1998	Lupien et al.	705/37
<input type="checkbox"/>	<u>5890138</u>	March 1999	Godin et al.	705/26
<input type="checkbox"/>	<u>5905975</u>	May 1999	Ausubel	705/37
<input type="checkbox"/>	<u>5950176</u>	September 1999	Keiser et al.	705/37
<input type="checkbox"/>	<u>5950177</u>	September 1999	Lupien et al.	705/37
<input type="checkbox"/>	<u>5950178</u>	September 1999	Borgato	705/37

## OTHER PUBLICATIONS

`Wal-Mart Streamlines Transportation Bidding Process with the SABRE Group's Decision-Support Software; Wal-Mart Realizes Multimillion Dollar Savings With OptiBid`, PR Newswire, p825DAW033, Aug. 25, 1998.  
Burke, Gibbons, `Reuters interruptus: GLOBEX test suffers setback. (electronic trading system)`, Futures (Cedar Falls, Iowa), v21, n5, p.12 (1), Apr. 1992.  
Stedman, Craig, `Shipping service keeps users truckin`, Computerworld, p. 39 & 41, Jun. 24, 1996.

ART-UNIT: 275

PRIMARY-EXAMINER: MacDonald; Allen R.

ASSISTANT-EXAMINER: Robinson-Boyce; Akiba

ATTY-AGENT-FIRM: Whitman, Curtis &amp; Whitham Kaufman; Stephen C.

## ABSTRACT:

A computer-implemented method for double auction trade-building by matching, based on feasibility and price/cost heuristics, a plurality of electronically posted bid with a plurality of electronically posted ask records. A one-pass sequential trade building method selects ask records one at a time and sequentially inserts, in one pass, as many of the bid records as possible while maintaining feasibility criteria. A two pass sequential trade building method inserts the bid records in two stages, the first terminating when the trade becomes feasible and the second when no further bids can be inserted.

8 Claims, 4 Drawing figures

<u>L5</u>	L4 and qualificat\$	88	<u>L5</u>
<u>L4</u>	L3 and (bid or rate near3 report)	474	<u>L4</u>
<u>L3</u>	L2 and (bid or rate)	1109	<u>L3</u>
<u>L2</u>	L1 and (freight or ship\$)	2157	<u>L2</u>
<u>L1</u>	(e-commerce or "electronic commerce")	12584	<u>L1</u>

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## GoCargo.com's reverse auction site

**REGISTRATION**

Shippers, Service Providers and NVOCCs can all register FREE for the Online Shipping Exchange by completing a simple registration form. After the registration information has been processed, a GoCargo.com representative provides new members with a personal and secure access key.

**GoCargo.com** The Best Value in Shipping

GoCargo.com™ was founded in the belief of providing shippers and service providers a unique and secure way to book cargo. GoCargo.com's Online Shipping Exchange (OSE) is a secure and reliable way to book cargo. GoCargo.com's OSE is a secure and reliable way to book cargo. GoCargo.com's OSE is a secure and reliable way to book cargo.

In order to enter the exchange, members must always enter their personalized User Name and Password.

GoCargo.com is a real-time, web-based marketplace that connects importers and exporters and transportation suppliers in a 'reverse auction' format. The website service enables shippers to obtain competitive bids from ocean carriers and freight forwarders worldwide, while at the same time, offering new revenue opportunities for service providers by eliminating the restrictions imposed by their geographic location or the size of their sales force.

GoCargo.com's Online Shipping Exchange (OSE) is a trading module that allows its members to exchange quotation and booking orders in two formats:

**\*One-time shipments**—Gocargo.com allows shippers to post shipments for bids from multiple service providers in one simple entry, eliminating massive paperwork and negotiation costs. Competing bids from qualified carriers are posted on the website to help shippers make informed decisions and confirm bookings;

**\*Service contracts**—Medium and large-sized shippers can offer long-term shipping contracts for auction online as well. All auctions are actively supervised by GoCargo.com's auction coordinators who invite carriers to participate and make sure they are aware of the contracts being offered for auction, and who provide support throughout the process.

In OSE's reverse auction platform, the shipper enters its shipment specifications, constructing a customised contract

trades

shipment specifications, constructing a customised contract to offer for auction. Service providers can search and review shipments offered by trade route (to fill excess capacity, for example) or by select criteria such as commodity, container type or the shipdate. They can then place bids on any shipment. The service provider can update its bid until the auction closes.

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The shipper has full discretion in choosing the winning bid. Its decision may be based on any parameter of the service provider's reply or any combination thereof. For example, the shipper may choose the bidder with the lowest price, the fastest time or the best logistics solution.

The service is free of charge to shippers. GoCargo.com charges a commission to the winning bidder of a shipment contract.

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August 23, 2000, 02:13 AM

Gocargo.Com And Mercator Software Partner to Facilitate Customer Integration; Enabling Shipping Exchange to Integrate with It Systems of Shippers, Forwarders And Carriers

*ScreamingMedia, Business Wire*

WILTON, Conn., Aug 23, 2000 (BUSINESS WIRE) -- Mercator(R) Software, Inc. (Nasdaq:MCTR), the e-business transformation company™, today announced a strategic alliance with GoCargo.com, the leading online shipping exchange. Mercator Commerce Broker software will be used by GoCargo.com to integrate customers and strategic partners with its reverse auction platform.



"After researching the market extensively for the right software solution for us, we chose Mercator because we feel it offers the best combination of B2B integration and work flow expertise in combination with 'any-to-any' data transformation," said Jim Galley, Chief Technology Officer of GoCargo.com. "Mercator's software will enable GoCargo.com to provide additional value to our member base of more than 10,000 customers as well as our strategic partners."

The GoCargo.com neutral business-to-business e-commerce platform has revolutionized the ocean shipping industry to the benefit of both buyers ("shippers") and sellers ("service providers") of shipping services by providing a highly secure marketplace to execute their container shipments. GoCargo.com's real-time reverse auction platform enables importers and exporters to reduce their international ocean transport costs by obtaining competitive bids from ocean carriers, NVOCCs and freight forwarders worldwide. Service provider members of GoCargo.com increase their revenue opportunities with lower sales costs, save time and optimize their capacity with diverse, pre-screened cargo. GoCargo.com optimizes container shipping by securing the best price and services for the shipper, and the best cargo load for the service provider.

Mercator's flexible, any-to-any integration technology allows GoCargo.com to reduce the amount of time required to integrate with its existing partners and add new partners into its community. In addition, the partners do not need to purchase any additional hardware or software for back-end integration with the GoCargo.com shipping exchange. Mercator provides a flexible solution to adapt to its partners' varying technologies, including Java, XML and EDI, and is scalable and reliable to manage large volumes of transactions. Mercator Commerce Broker also provides the integration capability between GoCargo.com's auction engine, Moal LiveExchange, and other internal Java-based applications.

"The GoCargo.com electronic platform makes it easy for shippers and service providers to transact business in a dynamic marketplace," said Connie Galley, President and Chief Executive Officer, Mercator. "We are excited to provide the integration technology for the leading online shipping exchange to enhance its efficiencies with new applications and services."

About GoCargo.com GoCargo.com, Inc. ([www.GoCargo.com](http://www.GoCargo.com)) headquartered in New York City, was founded in July 1999 to provide the international shipping industry with a business-to-business real-time exchange for ocean-going container shipments. By participating in GoCargo.com's efficient reverse auction platform, shippers gain lower prices through online competitive bidding and access to multiple qualified transportation service providers through a single entry point, while service providers expand their revenue potential and significantly reduce their sales costs. GoCargo.com's membership base has expanded from 200 customers in November 1999 to more than 10,000 shippers and service providers at present.

About Mercator Mercator is the leading provider of integration software for transforming business processes to enable e-business. Our products automate the end-to-end integration of the commerce chain, enabling the seamless flow of information throughout the enterprise and with the applications of customers and partners. With Mercator, customers can lock in the benefits of e-business through the adaptive transformation of business processes, while fully leveraging current technology investments at every step.

More than 5,000 customers, across every industry, use our software to accelerate their transformation to e-business. Over 100 partners, including application software providers, systems integrators and Net markets, embed or resell our technology to enhance their product or service offerings. Additional information about Mercator can be found at [www.mercator.com](http://www.mercator.com) Mercator is a registered trademark of Mercator Software, Inc. "the e-business transformation company" is a trademark of Mercator Software, Inc.

Legal Notice Regarding Forward-Looking Statements Statements in this press release that are not purely historical are forward-looking statements, including statements regarding Mercator Software's beliefs, expectations, hopes or intentions regarding the future. Forward-looking statements in this release include, but are not limited to, statements regarding the growth of the enterprise application market, the demand for the company's application integration solutions and the speed of deployment of new products, including combined Mercator E-Business Integration Broker products. It is important to note that actual outcomes and the company's actual results could differ materially from those in such forward-looking statements. Factors that could cause actual results to differ materially include risks and uncertainties such as changes in demand



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that could cause actual results to differ materially include risks and uncertainties such as changes in demand for application integration or e- business integration software and the company's Mercator E-Business Integration Broker suite of products in particular, the ability of the company to expand its international operations, the ability of the company to manage expanded global operations, the ability of the company to continue to add resellers and other distribution channels, and the success of third parties in utilizing and marketing the company's products, or seasonality in operating results. Readers should also refer to the risk disclosures outlined in the company's reports filed with the Securities and Exchange Commission. All forward-looking statements and reasons why results might differ included in this release are made as of the date hereof, based on information available to the company as of the date hereof, and the company assumes no obligation to update any such forward-looking statement or reasons why results might differ.

CONTACT: Mercator GoCargo.com Cynthia Cronk Brockhoff Heather Haboush (203) 563-1258 (646) 769-3420 cbrockhoff@mercator.com heather@gocargo.com or Lois Paul & Partners The Financial Relations Board Amy Pavel or Karen Nichols Ava Stern (781) 238-5700 (212) 455-0910 amy\_pavel@lpp.com astern@frb.bsmg.com karen\_nichols@lpp.com URL: <http://www.businesswire.com> Today's News On The Net - Business Wire's full file on the Internet with Hyperlinks to your home page.

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